

Implementing the Presidential Decree

Agency Function and Organization



The Regulatory Assistance Project

*50 State Street, Suite 3
Montpelier, Vermont USA 05602
Tel: 802.223.8199
Fax: 802.223.8172*

*177 Water St.
Gardiner, Maine USA 04345
Tel: 207.582.1135
Fax: 207.582.1176*

Website:

<http://www.raonline.org>



Commission Organization

- By company type (generation, transmission, distribution, etc.)
- By function
 - ❖ Separation between advocates and analysts
 - ❖ Technical discipline
- Executive Director
 - ❖ Administration
 - ❖ Technical Oversight
 - ❖ Policy Development



Technical Disciplines

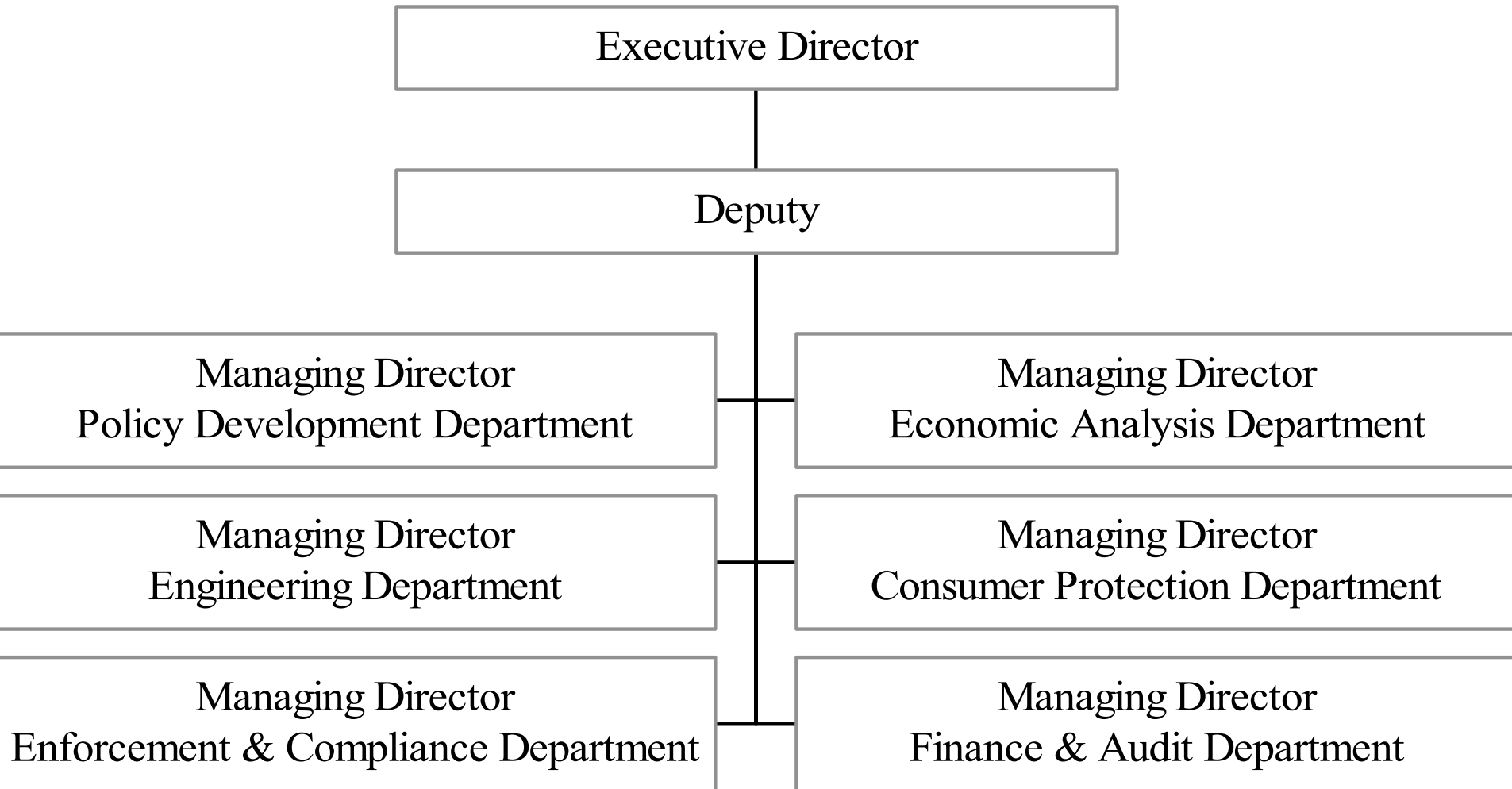
- Legal
- Hearings
- Engineering
- Accounting and finance
- Economics
- Consumer affairs
- Media affairs
- Administration



Technical Secretariat

Policy and Technical Services Division

Organizational Chart



Commission Finance

- Agency as government function
- Issues of fairness
- Issues of public credibility



Public Involvement

- Right to testify not enough, especially during times of change
- Reaching out to the public
 - ❖ Interest groups
 - ❖ Advisory groups
- Customer education as to major issues
 - ❖ Funded by utilities

Assuring

Unbiased Regulation

- Crucial to both investor and public confidence
- Codes of Ethics
 - ❖ Prevention of corruption
 - ❖ Prevention of conflicts of interest
- Post-employment restrictions



Voting and Deliberations

- Prohibition against *ex parte* communications
- Publish written orders--
 - ❖ Reasoning
 - ❖ Conclusions
- Opportunity for parties to argue error (rehearing)



Role of Technical Staff

- Resident expertise
- Provides balance by addressing interests of unrepresented parties
- Maintains professional independence from decision maker
- Advocacy staff
- Advisory staff

Other Roles of Staff

- Typical agency personnel functions
- Consumer Protection
- Support to decision makers
- Proposing policy positions
- Compliance and enforcement